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18379 U.S.PTO

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PTO/SB/05 (01-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|-------------------------------|---|
| <i>Attorney Docket No.</i> | 1/1072 PCT-1-C1 |
| <i>First Inventor</i> | D. HOCHRAINER et al. |
| <i>Title</i> | Pressure Compensation Device for a Two-Part Container |
| <i>Express Mail Label No.</i> | EV 270 531 859 US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 14]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
- Oath or Declaration [Total Sheets 4]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of *(when there is an assignee)* Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Terminal Disclaimer

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/831,054

Prior application information:

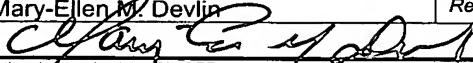
Examiner J. G. FosterArt Unit: 3728

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number: 28501 OR Correspondence address below

| | | | |
|---------|-----------|----------|--|
| Name | | | |
| Address | | | |
| City | State | Zip Code | |
| Country | Telephone | Fax | |

| | | | |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Mary-Ellen M. Devlin | Registration No. (Attorney/Agent) | 27,928 |
| Signature |  | | |
| | Date | April 1, 2004 | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

18370 U.S.PTO
040104

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 880.00)

Complete if Known

| | |
|----------------------|----------------------|
| Application Number | To be assigned |
| Filing Date | April 1, 2004 |
| First Named Inventor | D. Hochrainer et al. |
| Examiner Name | J.G. Foster |
| Art Unit | 3728 |
| Attorney Docket No. | 1/1072 PCT-1-C1 |

METHOD OF PAYMENT (check all that apply)
 Check Credit card Money Order Other None
 Deposit Account:
 Deposit Account Number
 Deposit Account Name

| |
|----------------------------------|
| 02-2955 |
| Boehringer Ingelheim Corporation |

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description | Fee Paid |
|---------------|----------|---------------|----------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) Terminal Disclaimer under 37 CFR 1.20(d)

110.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 110.00)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

(Complete if applicable)

| | | | | | |
|-------------------|----------------------|--------------------------------------|--------|---------------|--------------|
| Name (Print/Type) | Mary-Ellen M. Devlin | Registration No. (Attorney/Agent) | 27,928 | Telephone | 203-798-4866 |
| Signature | | | Date | April 1, 2004 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Boehringer Ingelheim
Pharmaceuticals, Inc
a subsidiary of
Boehringer Ingelheim Corporation
900 Ridgebury Rd.
P.O. Box 368
Ridgefield, Connecticut 06877

April 1, 2004
Boehringer Ingelheim GmbH
D-55218 Ingelheim am Rhein
Federal Republic of Germany
Attn: Dr. Heinz Hammann

NOTIFICATION OF FILING OF COMMUNICATION IN THE
U.S. PATENT AND TRADEMARK OFFICE

RE: Case No. 1/1072 PCT-1-C1
Inventors: D. Hochrainer et al.
Serial No.: To be assigned
Conf. No.: To be assigned
Filed: April 1, 2004
For: Pressure Compensation Device for a Two-Part Container

Dear Dr. Hammann:

Please note that we have, in connection with the above-referenced case, filed the following papers with the U.S. Patent and Trademark Office on April 1, 2004:

1. Utility Patent Application Transmittal (1 page);
2. Fee Transmittal (1 page in triplicate);
3. Express Mail Certificate (1 page);
4. Application Data Sheet (4);
5. Specification, claims abstract (14);
6. Drawings (5 sheets);
7. Preliminary Amendment (7 pages);
8. Terminal Disclaimer (1 page);
9. Copy of Declaration for Utility or Design Patent Application from prior application (4 pages);
10. Transmittal Letter for Information Disclosure Statement (3 pages in triplicate); and
11. Information Disclosure Statement by Applicant (5 pages) and all non-U.S. patents cited.

Copies of the listed papers are enclosed, for your records.

Sincerely,

A handwritten signature in black ink, appearing to read "Gail Henner".

Gail Henner

Enclosures

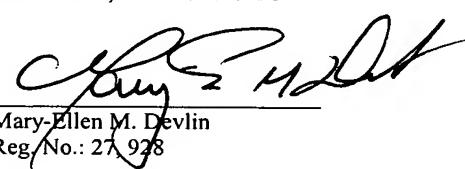
Patent Department
Boehringer Ingelheim Corp.
900 Ridgebury Road
P.O. Box 368
Ridgefield, CT 06877
Tel: (203) 798-4866
Date: April 1, 2004

DOCKET NO. 1/1072 PCT-1-C1

"EXPRESS MAIL" LABEL NO.: EV 270 531 859 US
DEPOSIT DATE: April 1, 2004
1. Utility Patent Application Transmittal (1 page);
2. Fee Transmittal (1 page in triplicate);
3. Express Mail Certificate (1 page);
4. Application Data Sheet (4);
5. Specification, claims abstract (14);
6. Drawings (5 sheets);
7. Preliminary Amendment (7 pages);
8. Terminal Disclaimer (1 page);
9. Copy of Declaration for Utility or Design Patent
Application from prior application (4 pages);
10. Transmittal Letter for Information Disclosure
Statement (3 pages in triplicate);
11. Information Disclosure Statement by Applicant (5
pages) and copies of all non-U.S. patents issued. All
non-U.S. patents when not in English include English
abstracts.

I HEREBY CERTIFY THAT THE ABOVE PAPERS AND FEE ARE
BEING DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE"
SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED
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COMMISSIONER FOR PATENTS
P.O. BOX 1450
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By: 

Mary-Ellen M. Devlin
Reg. No.: 2A 928